

State of New Jersey
Division of Gaming Enforcement
License No. 1137-50, 48-50, 108-50
Vendor No. 29401, 10053, 17278
Order No. 1056

I/M/O Request of Ellerston Capital Limited for Waiver of the Qualification Requirement Pursuant to N.J.A.C. 13:69J-1.14b(2) as an Institutional Investor of Aristocrat International Party Limited, Aristocrat Technologies Inc., and Aristocrat Technology Australia Party Limited, Casino Service Industry Enterprise Licensees

ORDER

A Certification and supporting documentation having been filed on July 1, 2013 by Ellerston Capital Limited requesting that the Division of Gaming Enforcement (Division) waive the qualification requirement pursuant to N.J.A.C. 13:69J-1.14b(2); and Ellerston Capital Limited, having represented that it holds for investment purposes only 5.64% of Aristocrat Leisure Limited, the parent company and 100% owner of three companies, Aristocrat International Party Ltd. (VRN 29401), Aristocrat Technology Australia Party Ltd. (VRN 10053), and Aristocrat Technologies Inc. (VRN 17278) (collectively the "Aristocrat Licensed Entities"), all three of which are licensed casino service industry enterprises; and Ellerston Capital Limited having represented that it has no involvement in the business activities of the licensed entities nor any intent to influence or affect the affairs of the licensed entities; and Ellerston Capital Limited having demonstrated that it is a holder of an Australian Financial Service License; and the Director of the Division, having considered this matter;


IT IS on this 16th day of June, 2014, **ORDERED** that the requested relief is hereby **GRANTED**; and

IT IS FURTHER ORDERED that the representations made in the letter petition and accompanying documentation sufficiently demonstrate that Ellerston Capital Limited is the holder of an Australian authorized financial services license issued by the Australian Securities and Investment Commission pursuant to Section 913B of the Corporations Act which is equivalent to that of the United States Securities and Exchange Commission under the Investment Advisors Act of 1940, and, as such, a finding that Ellerston Capital Limited itself falls within the definition of "institutional investor" as that term is defined at N.J.S.A. 5:12-27.1 and N.J.A.C. 13:69A-5.6B(a)(8); and

IT IS FURTHER ORDERED that Ellerston Capital Limited is found to satisfy the requirements for a waiver of qualification as set forth in N.J.A.C. 13:69J-1.14b(2) and N.J.A.C. 13:69A-5.6B(a); and

IT IS FURTHER ORDERED that the qualification requirements of N.J.A.C. 13:69J-1.14a(1) as to Ellerston Capital Limited in connection with the casino service industry enterprise licensure of Aristocrat Licensed Entities are hereby waived, subject to the condition that Ellerston Capital Limited comply with the notice and filing requirements of N.J.A.C. 13:69J-1.14A(c) should it subsequently determine to influence or affect the affairs of the entities.

State of New Jersey
Division of Gaming Enforcement


David Rebuck
Director